

28960
PATENT TRADEMARK OFFICE

Attorney Docket No.: SONY-12302

#### HAVERSTOCK & OWENS LLP

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MAIL STOP PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Anticipated Classification of this Application:
Class: Subclass: Prior Application:09/607,134
Examiner: Vo, Tim T.
Art Unit: 2189

## TRANSMITTAL OF FILING UNDER 37 C.F.R. § 1.53(b)

Sir:

This is a request for filing a Continuation application under 37 C.F.R. § 1.53(b), of pending prior application Serial No. 09/607,134 filed on June 29, 2000 of Kevin K. Lym et al. for APPLICATION PROGRAMMING INTERFACE FOR DATA TRANSFER AND BUS MANAGEMENT OVER A BUS STRUCTURE

# **CERTIFICATION UNDER 37 § 1.10**

I hereby certify that this new application and the document referred to as enclosed herein are being deposited with the United States Postal Service on this date June 25, 2003, in an envelope bearing "Express Mail Post Office to Addressee" Mailing Label Number EV349858897US addressed to: MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Sharon McCormick
(Name of Person Mailing Paper)

Sudisa M. Cornick

1. Copy of Prior Application as Filed Which is Attached

I hereby verify that the attached papers are a true copy of what is shown in my records to be the above identified prior application, including the oath or declaration originally filed. The copy of the papers of prior application as filed which are attached are as follows: 85 page(s) of specification; 19 page(s) of claims; 1 page(s) of abstract; 22 Sheet(s) of drawings and 2 pages of the combined declaration and power of attorney (unexecuted). The original of declaration, combined with power of attorney was filed November 8, 2000 in response to the notice to file missing parts. The original Assignment (5 sheets), including form PTO-1595 was filed November 8, 2000.

- 2. Amendments
  - X Cancel in this application original claims 19-35 and 68-95 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes).
  - X A preliminary amendment is enclosed, including changes to the specification, claims, remarks, and 22 sheets of formal drawings as substitute sheets for the informal drawings submitted in the patent application.
- 3. Fee Calculation (37 C.F.R. § 1.16)

| CLAIMS AS FILED   |              |              |                        |                                   |  |
|---|--------------|--------------|------------------------|-----------------------------------|--|
|   | Number Filed | Number Extra | Rate                   | Basic Fee<br>(37 C.F.R. § 1.16(a) |  |
|   |              |              | .``                    | 740.00                            |  |
| Total Claims<br>(37 C.F.R. § 1.16(c))                     | 82 - 20 =    |              | \$18.00                | 1,116.00                          |  |
| Independent Claims<br>(37 C.F.R. § 1.16(b))               | 5 -3 =       | 2            | \$84.00                | 168.00                            |  |
| Multiple dependent claim(s), if any (37 C.F.R. § 1.16(d)) |              |              | \$260.00               | 0.00                              |  |
|   |              |              | Filing Fee Calculation | on ·                              |  |

Filing Fee Calculation (50% of above)

Attorney Docket No.: SONY-12302

| A.1   |      |
|-------|------|
| Other | HEEC |
|       |      |

X Assignment Recordation Fee

40.00

Total Fee

2064.00

- Drawings
  - 22 Sheets of drawings are enclosed.
    - X Formal
- Relate Back-35 U.S.C. § 120
  - X The specification has been amended to include the following:

This Patent Application is a continuation application of co-pending U.S. Patent Application Ser. No. 09/607,134, filed on June 29, 2000, and entitled "Application Programming Interface For Data Transfer And Bus Management Over A Bus Structure," which is a continuation-in-part of co-pending U.S. Patent Application Ser. No. 09/337,057, filed on June 21, 1999, and entitled "Application Programming Interface For Managing And Automating Data Transfer Operations Between Applications Over A Bus Structure," now issued as U.S. Patent No. 6,243,783, which is a continuation of U.S. Patent No. 5,991,520, issued on November 23, 1999, and entitled "Application Programming Interface For Managing And Automating Data Transfer Operations Between Applications Over A Bus Structure." The application Serial No. 09/607,134, filed on June 29, 2000, and entitled "Application Programming Interface For Data Transfer And Bus Management Over A Bus Structure," the application Serial No. 09/337,057, filed on June 21, 1999, and entitled "Application Programming Interface For Managing And Automating Data Transfer Operations Between Applications Over A Bus Structure" now issued as U.S. Patent No. 6,243,783, and the U.S. Patent No. 5,991,520, issued on November 23, 1999, and entitled "Application Programming Interface For Managing And Automating Data Transfer Operations Between Applications Over A Bus Structure" are all hereby incorporated by reference.

- 6. Inventorship Statement
  - (a) With respect to the prior co-pending U.S. application from which this application claims benefit under 35 U.S.C. § 120 the inventor(s) in this application is (are):
    - X the same
  - (b) The inventorship for all the claims in this application is:
    - X the same.
- 7. Fee Payment Being Made At This Time
  - X Enclosed.

### Total Fees Enclosed \$2064.00

- Method of Payment of Fees
  - Enclosed is a check in the amount of \$2064.00 (\$2024.00 for basic filing fee plus \$40.00 Assignment Recordation Fee) enclosed.
- 9. Authorization To Charge Additional Fees
  - X The Commissioner is hereby authorized to charge any fees or credit any overpayment during the pendency of this application to Account No. <u>08-1275</u>. An originally executed duplicate of this transmittal is enclosed for this purpose.

PATENT Attorney Docket No.: SONY-12302

10. Power of Attorney

- The power of attorney in the prior application is to: <u>Jonathan O. Owens HAVERSTOCK & OWENS LLP</u>, 162 North Wolfe Road, Sunnyvale, CA 94086.
  - a. X The power appears in the original papers as filed on November 8, 2000. A copy is attached.
  - b. X Address all future communications to: HAVERSTOCK & OWENS LLP ATTN: Jonathan O. Owens 162 North Wolfe Road Sunnyvale CA, 94086
- 11. Maintenance of Codependency of Prior Application
  - X This application is a continuation of co-pending Serial No. 09/607,134 filed on June 29, 2000
- 12. X Incorporation by Reference
  The entire disclosure of the prior application(s), from which a copy of the oath of declaration is supplied under Box 1, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference.

I hereby declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Dated: June 25,2003

Name Jonathan O. Owens Registration No.: 37,902